

A Review on the Implementation of Deadlock Prevention using Banker's Algorithm

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Abstract:— The deadlock avoidance procedure, Banker's algorithm, was developed for computer operating systems, an environment where very little information regarding the future resource requirements of executing processes is known. Also, information on the maximum resource claims for each of the regions can be extracted prior to process execution. By inserting operating system calls when entering a new region for each process at runtime, and applying the original banker's algorithm for deadlock avoidance, this method has the potential to achieve better resource utilization because information on the "localized approximate maximum claims" is used for testing system safety.

Keywords:—Banker's Algorithm, Deadlock, Deadlock avoidance, Workflow Scheduling.

I. INTRODUCTION

Deadlock-free operation is an important operational requirement in flexible manufacturing systems (FMSs). In general, deadlock is the situation in which there exists a set of concurrent processes with each process in the set awaiting an event that can be caused only by another process in the set (Silbershatz and Peterson, 1991). A ubiquitous problem in discrete event systems, deadlock results from various aspects of systems operations, such as resource allocation and communications (Holt, 1972)[1]. In an FMS, deadlock is caused by imprudent allocation of buffer space, tooling, and material handling equipment (Cho, Kumaran, and Wysk, 1995).

The FMS controller, therefore, must incorporate some strategy for handling deadlocks; otherwise continuing system operation cannot be guaranteed. The deadlock phenomenon has been studied extensively in computer operating systems[2]. In these systems, executing processes compete for computing resources such as I/O channels, disk space, and memory.

In contrast to computer operating systems, a part in an FMS visits a predictable sequence of machines for processing, the part route. At each machine, the part requires a certain set of resources (buffer space, cutting tools, etc.) to complete its processing before moving on to the next machine. The objective of this paper is to be focus on various resources used in bankers algorithms[3].

1. Detection and recovery. Every time a resource is requested or released, a check is made to see if any deadlocks exist. If exist, some policy will be adopted to recover the system from deadlock.

2. Deadlock prevention, by structurally negating one of the four required deadlock conditions. deadlocks will be impossible[4].
3. Dynamic avoidance by careful resource allocation: deadlock avoidance[5]. Make judicious choices to assure that the deadlock point is never reached.

2. Data Structures Available:

Vector of length m □ # instances of each resource type available in system □ If available $[j] = k$, there are k instances of resource type R_j available.

Max:

- $n \times m$ matrix.
- Maximum # of instances of each resource each process can request.
- If $\text{Max}[i,j] = k$, then process P_i may request at most k instances of resource type R_j .

Allocation:

- $n \times m$ matrix
- # instances of each resource type allocated to each process
- If $\text{Allocation}[i,j] = k$ then P_i is currently allocated k instances of R_j .

Need:

1. $n \times m$ matrix
2. # instances of each resource type each process may need more of
3. If $\text{Need}[i,j] = k$, then P_i may need k more instances of R_j to complete its task
4. $\text{Need}[i,j] = \text{Max}[i,j] - \text{Allocation}[i,j]$.

Safety Algorithm:

1. Let Work and Finish be vectors of length m and n , respectively. Initialize: $\text{Work} = \text{Available}$
2. $\text{Finish}[i] = \text{false}$ for $i = 0, 1, 2, \dots, n-1$.
3. Find an i such that both:
 - (a) $\text{Finish}[i] = \text{false}$
 - (b) $\text{Need}_i \leq \text{Work}$
 If no such i exists, go to step 4.
3. $\text{Work} = \text{Work} + \text{Allocation}_i$
 - $\text{Finish}[i] = \text{true}$ go to step 2.
4. If $\text{Finish}[i] == \text{true}$ for all i , then the system is in a safe state.

3. Resource-Request Algorithm for Process P_i :

Request_i = request vector for process P_i .

If Request_i [j] = k then process P_i wants k instances of resource type R_j . When resource request made by P_i , the following occurs:

1. If Request_i ≤ Need_i go to step 2. Otherwise, raise error condition, since process has exceeded its maximum claim.
2. If Request_i ≤ Available, go to step 3. Otherwise P_i must wait, since resources are not available.
3. Pretend to allocate requested resources to P_i by modifying the state as follows: Available = Available - Request_i;

Allocation_i = Allocation_i + Request_i;

Need_i = Need_i - Request_i;

If safe the resources are allocated to P_i .

If unsafe P_i must wait, and the hold resource allocation state is restored

4. EXAMPLE OF BANKER'S ALGORITHM:

5 processes P_1 through P_5 3 resource types:

A: 10 instances

B: 5 instances

C: 7 instances

Resource-allocation state at time T_0 :

AllocationMaxAvailable

	A B C	A B C	A B C
P0	0 1 0	7 5 3	3 3 2
P1	2 0 0	3 2 2	
P2	3 0 2	9 0 2	
P3	2 1 1	2 2 2	
P4	0 0 2	4 3 3	

The content of the matrix, Need is defined to be Max - Allocation.

Need

A B C

P0 7 4 3

P1 1 2 2

P2 6 0 0

P3 0 1 1

P4 4 3 1

The system is in a safe state since the sequence < P1, P3, P0, P2, P4> satisfies safety criteria.

Example (cont.):

Now P1 requests 1 instance of A and 2 instances of C: Request1 (1, 0, 2)

- 1.) Check that Request1 ≤ Available (1,0,2) ≤ (3,3,2) _ true (can immediately grant request)
- 2.) Now see if system is in safe state:

	Allocation	Need	Available
	A B C	A B C	A B C
P0	0 1 0	7 4 3	2 3 0
P1	3 0 2	0 2 0	
P2	3 0 1	6 0 0	
P3	2 1 1	0 1 1	
P4	0 0 2	4 3 1	

Executing safety algorithm shows that sequence <P1, P3, P4, P0, P2> satisfies safety requirement.

5. CONCLUSION

In this paper, we proposed an extension of the banker's algorithm for deadlock avoidance. Assuming that the control flow of the resource-related calls of each process forms a rooted tree, we proposed a quadratic-time algorithm which decomposes these trees into regions and computes the associated maximum resource claims, the extended banker's algorithm has the potential to improve the resource utilization while incurring low runtime overhead.

6. REFERENCES

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